

HEALTHCARE RESEARCH COMPLIANCE ACADEMIES



LEARN TO NAVIGATE AND COMPLY WITH THE GROWING BODY OF LAWS AND TAKE MORE CONTROL OVER YOUR ORGANIZATION'S RESEARCH PROGRAM

With comprehensive applied, small-group interaction and networking, and the chance to earn Compliance Certification Board (CCB)[®] CEUs and sit for an optional certification exam, it's no surprise that Academies are one of our most sought-after experiences. Make plans now to build strong foundational knowledge and attend a 2026 Academy!

March 23–26, 2026 • Nashville, TN

September 14–17, 2026 • San Diego, CA

Register online

hcca-info.org/research-academies



About our Academies

HCCA's Healthcare Research Compliance Academy is a three-and-a-half-day interactive education program designed to help attendees learn the key elements of research compliance program management and apply them in real-world situations. Through instruction by experienced faculty, group discussion and problem-solving exercises, attendees gain practical insights on many areas that affect research compliance professionals on a day-to-day basis, including emerging risks and solutions. In addition to comprehensive learning, the interactive nature of the Academy fosters the development of strong, often career-lasting, professional bonds. Enrollment is limited to allow no more than 75 participants per academy. This is to ensure personal interaction with fellow attendees and faculty.

Who should attend?

Our Academies are designed for participants with basic knowledge of and professional experience in healthcare research compliance.

This includes:

- Chief compliance officers
- Compliance specialists
- Research auditors
- Clinical research compliance officers
- Research and compliance directors
- Compliance analysts
- Research coordinators

Why should you attend?

- Gain core healthcare research compliance knowledge
- Learn from faculty hand-selected for their expertise
- Network, problem-solve, and enjoy shared learning with other compliance professionals

- Earn live continuing education units (CEUs)
- Take the optional Certified in Healthcare Research Compliance (CHRC)[®] exam

Course materials and takeaways

In addition to the knowledge you gain by attending, you also receive course materials and a binder on the first day of the Academy.

Learn more

Please visit hcca-info.org to learn more about our Academies and HCCA's other programs.

Our faculty



Dwight Claustre, CHC-F, CHRC, CHPC
Retired Compliance Professional



Sarah Couture, RN, CHC, CHRC, CHPC
Principal,
Couture Compliance



Sarah Duffy-Clinton, CHRC
Executive Director Research & Physician
Compliance, Providence



Jeffrey Driver, CHC, CHRC, CCEP-I, JD
Professor of Regulatory & Innovation
Sciences, Arizona State University



David Lane, CHC
Chief Compliance Officer, Providence
Health; SCCE & HCCA Board Member



Joan Podleski, CHC, CHPC, CHRC, CCEP
Chief Privacy Officer,
Children's Health



Debbie Troklus, CHC-F, CCEP-F, CCEP-I, CHRC, CHPC
President, Troklus Compliance Consulting;
SCCE & HCCA Board Member



Kristin West, JD, M.S. Pharm, CHRC
Director of Research Ethics and
Compliance Committee, Council on
Governmental Relations (COGR)



Kelly Willenberg, DBA, RN, CCRP, CHC, CHRC
Manager, CEO, Kelly Willenberg and
Associates; SCCE & HCCA Board Second
Vice Chair

(Faculty subject to change.)

CHRC[®] Do you qualify? Become certified!

Certification can enhance your career and give you credibility with your organization and peers. Immediately following each Healthcare Research Compliance Academy, we offer an optional Certified in Healthcare Research Compliance (CHRC)[®] exam. A separate application and fee are required. Both must be submitted in advance to the Compliance Certification Board (CCB)[®].

PLEASE NOTE: Although Academies can provide the live CEUs you need to qualify, they are not a preparation course for the exam itself.

MONDAY

7:30–8:00 AM

Registration and Continental Breakfast (*provided*)

8:00–8:05 AM

Welcome/Introduction

8:05–9:50 AM

Research Compliance 101 — *Kelly Willenberg*

- A high level overview of key research compliance issues
- Human research protections, scientific misconduct, conflicts of interest, research billing, effort reporting, and more
- Integration of research compliance into the corporate compliance program

9:50–10:05 AM

Break

10:05–11:05 AM

Healthcare Compliance 101 — *Debbie Troklus*

- Overview of federal regulations governing investigators, institutions, IRBs
- Discussion of frequent trouble spots identified in determination letters and warnings and how they can be managed
- Interactive dissection of hypothetical scenarios and practical approaches managing thorny issues

11:05 AM–12:00 PM

Lunch (*provided*)

12:00–1:30 PM

Human Research Protections — *Kristin West*

- An overview of general compliance topics focusing on the elements of an effective compliance program
- The infrastructure of a compliance program
- The board's role in compliance

1:30–1:45 PM

Break

1:45–2:00 PM

Certified in Healthcare Research Compliance (CHRC)[®] Exam Information

2:00–3:30 PM

FDA-Regulated Research — *Kristin West*

- Guidelines for determining whether research is regulated by FDA and, if so, by which component
- Institutional and investigator responsibilities in FDA-regulated research: FDA and industry expectations for compliance
- FDA inspections: What to expect and how to respond

3:30–3:45 PM

Break

3:45–5:00 PM

Managing Research Conflicts of Interest — *Jeffrey Driver*

- Identifying a potential conflict of interest that may introduce bias into the design, conduct, or reporting of research
- Determining when action needs to be taken, and what actions are necessary and appropriate for reduction, management, or elimination of the potential or actual conflict
- Action that can be taken to decrease the possibility of conflict of interest situations arising in the conduct of research

TUESDAY

8:00–8:30 AM

Continental Breakfast (*provided*)

8:30–9:45 AM

Scientific Misconduct — *Jeffrey Driver*

- Introduction to concepts of Research Misconduct: What it is and what it isn't
- Governing regulations
- Remedies and consequences

9:45–10:00 AM

Break

10:00 AM–11:45 PM

Clinical Research Billing — *Kelly Willenberg*

- In-depth review of rules for billing payors for clinical research services
- Review a methodology for determining coverage of clinical research services
- Overview of a clinical research Medicare coverage analysis

11:45–12:45 PM

Lunch (*provided*)

12:45–1:45 PM

The Fundamentals of AI Risks & Compliance Management for Research Professionals — *Jeffrey Driver*

- Define the scope, future, up and downside risks of AI by reviewing this AI nuts and bolts primer, beginner's lexicon, and sample quiz questions for compliance professionals
- Survey the key AI laws and regulations, enforcement, and civil litigation issues, themes, and trends influencing business ecosystems
- Identify, compare, and differentiate leading AI governance, risk management, and compliance frameworks and envision next steps and an action plan for you and your organization

1:45–2:00 PM

Break

2:00–3:15 PM

Animal Research Regulation — *Kristin H. West*

- Overview of the main regulators/regulations in the animal research arena
- Working with institutional animal care and use committees, institutional officials, attending veterinarians, and other important players in animal research oversight
- Case analysis: Issuing, spotting, and applying pertinent regulations in animal research settings

3:15–3:30 PM

Break

3:30–4:45 PM

Research Documentation and GCP — *Joan Podleski*

- Understand what is the research record
- Differentiate the research record from the medical record
- Understand the basics of GCP and documentation standards/guidelines

4:45–6:00 PM

Networking Reception

WEDNESDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

Conducting a Research Risk Assessment — *Dwight Claustre*

- What is a risk and what does it mean to assess it?
- Who needs to be involved in the process and why?
- Identifying the risk and conducting the assessment
- What do we do with the results?

9:30–9:45 AM

Break

9:45–11:00 AM

Auditing and Monitoring — *Kelly Willenberg*

- Key elements of a successful healthcare research auditing and monitoring plan
- How at least two key risk areas in healthcare research could be developed into auditing and monitoring tools
- How to optimize healthcare research tools provided to apply to their individual organizations

11:00 AM–12:00 PM

Lunch *(provided)*

12:00–1:15 PM

Grants Compliance — *Dwight Claustre*

- Discuss the basic requirements for grants management
- Determine how to identify compliance risks related to grants management
- Discuss the significant risk areas related to grants management
- Understand effective management practices for managing externally funded research programs

1:15–1:30 PM

Break

1:30–2:45 PM

Data and Safety Monitoring Issues — *Sarah Couture*

- Discuss the fundamentals of data and safety monitoring
- Apply a risk-based approach to data and safety monitoring
- Describe the role of research compliance in data and safety monitoring

2:45–3:00 PM

Break

3:00–4:30 PM

Research Compliance Hot Topics & Watch List — *Kelly Willenberg*

- Important research compliance issues and trends not otherwise covered in the Academy
- Overview of the Foreign Corrupt Practices Act and UK Bribery Act as they impact international research and technology
- Applicable new laws, regulations, and enforcement trends related to the conduct of research

THURSDAY

7:30–8:00 AM

Continental Breakfast *(provided)*

8:00–9:30 AM

Conducting an Internal Research Investigation — *Dwight Claustre*

- Being prepared is a key to conducting a good investigation
- Where to look, what to look for, and how to find it
- Interviews (and guidelines for conducting them)

9:30–9:45 AM

Break

9:45–11:15 AM

Privacy and Security Issues in Research — *Joan Podleski*

- Overview of the basics of privacy and security in research
- Discussion of HIPAA privacy and security in research
- Discussion of FDA and other regulations that impact privacy and security in research

11:15 AM

Academy Ends *(boxed lunch provided)*

12:45 PM

CHRC Exam Check-In Time

1:00–4:00 PM

Exam Time — Certified in Healthcare Research Compliance (CHRC)[®] *(optional)*

The CHRC exam is optional. You must apply and pay for the exam separately from the Academy.

To apply online, visit: hcca-info.org/apply-exam.

PLEASE NOTE: If you are not present at the specified "Exam Check-in Time" as listed above, and as determined by the exam proctor, you will not be allowed to sit for the exam. Actual exam duration is 120 minutes, per the Candidate Handbook. The time range, listed at left, includes mandatory exam procedures and proctor instructions.

(Agenda subject to change.)

SECTION 1 Attendee Information • or click here to register and pay online ►

☐ Mr ☐ Mrs ☐ Ms ☐ Dr ☐ Other _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Work Phone _____ Email (required) _____

SECTION 2 Registration

Healthcare Research Compliance Academies

☐	March 23–26, 2026 • Nashville, TN Price increase 3/2/26
☐	September 14–17, 2026 • San Diego, CA Price increase 8/24/26

Registration FAQs: Visit hcca-info.org/faqs-academy for answers to frequently asked questions (FAQs) about your registration.

Dietary Needs Request

☐ Gluten Free ☐ Kosher Certified ☐ Lactose Intolerant ☐ No Red Meat/Pork ☐ Nut Allergy
☐ Shellfish/Seafood Allergy ☐ Vegan ☐ Vegetarian ☐ Other _____

On-site Attendee Mobile Phone (for emergency on-site use only) _____

Emergency Contact (name & phone) _____

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

Options

	Early Bird Rate*	Regular Rate
☐ Member Registration	\$2,750	\$2,900
☐ Registration + First-Time Membership**	\$3,000	\$3,150
☐ Non-Member Registration	\$3,250	\$3,400

*Early Bird Pricing - pricing increases 21 days prior to the start of the event.

**Registration + Membership discount is only available for first time members who register for the event and membership at the same time. Dues renew at \$325.

Group Discount

☐ Group Discount for 3–9***	(\$200)	(\$200)
☐ Group Discount for 10 or More***	(\$400)	(\$400)

***Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ _____

HCCA Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at hcca-info.org/membership-terms-and-conditions. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

☐ Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

SECTION 3 Payment

Register online with credit card payment at hcca-info.org/research-academies

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

☐ Invoice me Purchase Order Number (attach PO) _____

☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes. Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. A \$250 fee will be charged for any registration changed within 14 days of the start of an Academy. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned.

Email helpteam@hcca-info.org or call HCCA at 952.988.0141 or 888.580.8373.

SECTION 4 Acknowledgements

By submitting this registration, you agree to the Event Code of Conduct, viewable at hcca-info.org/event-code-of-conduct, and the event Terms and Conditions, viewable at hcca-info.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.

Hotel and Academy Locations

Healthcare Research Compliance Academies and optional CHRC exams are held at the locations listed below. The costs of hotel accommodations are not included in your conference registration fee. The hotel room rate listed for each Academy is good until the cut-off date indicated or until the room block is full, whichever comes first. Reservations received after the cut-off date or after the room block is full will be accepted based on space and rate availability. Please note that parking and Internet service charges are set by the hotel and may be subject to change.

PLEASE NOTE: Neither HCCA nor any hotel it is affiliated with will ever contact you to make a hotel reservation. If you receive a call soliciting reservations on behalf of HCCA or the event, it may be fraudulent. We recommend you make reservations directly with the hotel using the phone number or web link in this brochure. If you have concerns or questions, please contact HCCA at 952.988.0141 or 888.580.8373.

March 23–26, 2026 • Nashville, TN

Embassy Suites by Hilton Nashville Downtown
708 Demonbreun Street
Nashville, TN 37203

A reduced rate of \$289 single/double occupancy per night and applicable taxes (currently 16.75% plus \$2.50 per night, subject to change) has been arranged for this program. To obtain the special discounted group rate, [click here](#) or call 615-736-7100 and ask for the Academy group rate. The cutoff date to receive this discounted rate is March 3, 2026 or once the group block is full, whichever comes first. After the cut-off date, reservations will be handled on a rate and availability basis.

To confirm a sleeping room within the Room Block, the sleeping room must be secured with a valid credit card, along with a first night's deposit. Individual reservations must be cancelled 72 hours prior to arrival to avoid one night's room/tax charge. For those who wish to extend their stay, sleeping room rates are available two days before and two days after the Academy based on availability.

September 14–17, 2026 • San Diego, CA

Hotel info coming soon.

Helpline Information

If you encounter an ethics or compliance issue at an SCCE or HCCA event, you have several options to report it.

Email the Chief Compliance Officer

adam.turteltaub@corporatecompliance.org

Email the SCCE & HCCA Board Finance, Compliance & Audit Committee Chair

bwade@shccs.com

Report the incident via our third-party helpline

Call 1 844.981.1072 or go to sccefeedback.ethicspoint.com and select "Make a Report". You have an option to report the incident or concern anonymously.