

New to healthcare compliance?
Here's the essential knowledge you need!

Virtual Healthcare Compliance Essentials Workshop

This four-day virtual workshop is designed to help build your understanding of the fundamental elements necessary for an effective healthcare compliance program. Instructors are experienced industry leaders who will guide you through core compliance topics from risk assessment and investigations to governance, enforcement, and more. Ideal for those new to or with little experience in compliance.

2026 workshops

February 9–12 • Virtual (CT)

November 16–19 • Virtual (CT)

Make plans now to build the strong foundational knowledge needed for compliance program effectiveness: save your spot in an upcoming Healthcare Compliance Essentials Workshop today!

Learn more and register
hcca-info.org/essentials



Kick-start your compliance education with this comprehensive workshop

HCCA's four-day Healthcare Compliance Essentials Workshop is designed to provide foundational education on the key elements of a compliance program in a convenient virtual format. If you're new to or have minimal experience in compliance management, make this workshop a priority and get the essential knowledge you need to move forward confidently.

Our instructors are compliance and ethics leaders who will guide you through the fundamentals and help you build a foundation for your compliance career.

Attendees will have the opportunity to earn live continuing education units (CEUs) from the comfort of their own home or office.

Our instructors



Doriann Cain
Associate
Faegre Drinker Biddle & Reath LLP



Sarah Couture, RN, CHC, CHRC
Principal
Couture Compliance



Tomi Hagan, CHC, CHPC, MSN, RN
Chief Compliance Officer
Great River Health System



Steve Lokensgard, JD
Partner
Faegre Drinker Biddle & Reath



Traci Rooks, JD, CHC
Program Coordinator, Health Justice Certificate
University of Providence



Kenneth Zeko, CHC, JD
President
Zeko LLC

Instructors subject to change.

Topics include

- Introduction and background to compliance and ethics programs
- Standards and procedures
- Governance, oversights, and authority
- Risk assessment
- Due diligence in delegation of authority
- Communication and training
- Incentives and enforcement
- Monitoring, auditing, and reporting systems
- Investigations
- Response to wrongdoing
- Program improvement
- HIPAA, Stark Law, Anti-Kickback Statute, and False Claims Act
- Key skills necessary for compliance professionals

Who should attend?

- Compliance officers
- Internal auditors
- Data privacy personnel
- Regulatory compliance specialists
- In-house or external compliance lawyers
- Compliance students
- Compliance analysts
- Compliance assistants
- Compliance specialists
- Compliance coordinators
- Compliance administrators

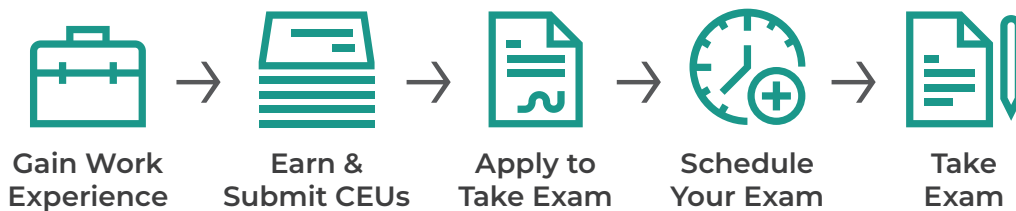
Become certified

Demonstrate your knowledge, gain credibility, and enhance your career with a Compliance Certification Board (CCB)[®] certification.

While there is no substitute for experience, this course covers healthcare compliance essentials and the continuing education units (CEUs) needed to help you prepare for your certification exam.



Follow these 5 steps to certification



Take your exam online!

CCB offers a remote proctored exam option.
For more information, visit hcca-info.org/exam-info

Learn more
hcca-info.org/certification



Agenda **Healthcare Compliance Essentials Workshop**

All workshops will be held in Central Time (CT). All sessions listed are basic education level. Agenda and instructors are subject to change.

MONDAY / DAY ONE

8:55 – 9:00 AM

Welcome and Introduction

9:00 – 10:15 AM

Intro and Background to C&E Programs

- History and evolution of compliance and ethics programs
- Introduction to the required elements of a compliance and ethics program
- Expectations of DHHS and other regulators

10:15 – 10:30 AM

Coffee Break

10:30 AM – 12:00 PM

Standards and Procedures

- Understanding the importance of written standards including policies, procedures, and the code of conduct
- Form, function, and maintenance of written standards of conduct to support the compliance program
- Types and purposes of written standards and procedures

12:00 – 12:45 PM

Mid-Conference Break

12:45 – 2:15 PM

Governance, Oversight, Authority

- Compliance responsibilities at each level of an organization
- Positioning and structure of the compliance function
- Relationship with the board of directors

TUESDAY / DAY TWO

9:00 – 10:00 AM

Risk Assessment: Part 1

- Performing compliance risk assessments
- Risk criteria to consider
- Design and implementation of risk responses

10:00 – 10:15 AM

Coffee Break

10:15 – 11:00 AM

Risk Assessment: Part 2

- Performing compliance risk assessments
- Risk criteria to consider
- Design and implementation of risk responses

11:00 – 11:15 AM

Coffee Break

11:15 AM – 12:15 PM

Primary Federal Fraud and Abuse Laws and HIPAA: Part 1

- Overview of HIPAA, Stark, Anti-Kickback and False Claims Act
- Identification of critical compliance requirements
- Trends and emerging issues

12:15 – 1:00 PM

Mid-Conference Break

1:00 – 1:45 PM

Primary Federal Fraud and Abuse Laws and HIPAA: Part II

- Overview of HIPAA Privacy and Security and the Information Blocking Rule
- Understand compliance requirements for Covered Entities
- Enforcement trends and how to mitigate risk

1:45 – 2:00 PM

Coffee Break

2:00 – 3:15 PM

Due Diligence in Delegation of Authority

- Identifying who has important compliance responsibilities
- Issues with employee background checks
- Due diligence for vendors and other third parties

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WEDNESDAY / DAY THREE

9:00- 10:15 AM

Communication & Training

- Risk-based identification of training needs
- Development and delivery of compliance training
- Compliance and ethics-related communications

10:15 – 10:30 AM

Coffee Break

10:30 – 11:30 AM

Monitoring, Auditing & Reporting Systems: Part 1

- Understanding the essential aspects of auditing and monitoring
- Developing the compliance auditing and monitoring plan
- Techniques and approaches to auditing and monitoring

11:30 – 11:45 AM

Coffee Break

11:45 AM – 12:45 PM

Monitoring, Auditing & Reporting Systems: Part 2

- Understanding the essential aspects of auditing and monitoring
- Developing the compliance auditing and monitoring plan
- Techniques and approaches to auditing and monitoring

12:45 – 1:30 PM

Mid-Conference Break

1:30 – 2:45 PM

Investigations

- Key steps in performing compliance investigations
- Conducting effective interviews and gathering evidence
- Concluding and reporting on investigations

THURSDAY / DAY FOUR

9:00 – 10:15 AM

Response to Wrongdoing

- Actions to take when wrongdoing is discovered
- Performing root cause analysis
- Designing and implementing remediation actions

10:15 – 10:30 AM

Coffee Break

10:30 – 11:45 AM

Incentives and Enforcement

- Active promotion of the compliance and ethics program
- Use of incentives to encourage compliance
- Discipline for identified wrongdoing

11:45 AM – 12:00 PM

Coffee Break

12:00 – 1:00 PM

Program Improvement

- Methods of assessing performance of the compliance and ethics program
- Focus on continuous improvement of the program
- Internal vs. third-party assessments

1:00 – 1:30 PM

Mid-Conference Break

1:30 – 2:30 PM

What is Next for Me and My Program?

- Key skills necessary for compliance professionals
- Taking action to have a successful career in compliance
- Applying what you have learned to your compliance program

2:30 – 3:30 PM

Optional Networking Hour

Use this hour to interact and engage with fellow attendees and instructors. Recap the last four days, ask questions, and make connections.

SECTION 1 Attendee Information • or click here to register and pay online ►

☐ Mr ☐ Mrs ☐ Ms ☐ Dr ☐ Other _____

First Name _____ MI _____ Last Name _____

Credentials (CHC, CCEP, etc.) _____ Job Title _____

Organization (name of employer) _____

Street Address _____ City/Town _____

State/Province _____ Zip/Postal Code _____ Country _____

Email (required) _____ Work Phone _____

☐ Opt in to receive emails from SCCE ☐ Opt in to receive emails from HCCA

SECTION 2 Registration

Healthcare Compliance Essentials Workshop

☐	February 9–12, 2026 • Virtual • Central Time (CT)	Price increase 1/12/26
☐	November 16–19, 2026 • Virtual • Central Time (CT)	Price increase 10/19/26

Registration FAQs: Visit hcca-info.org/faqs-essentials-workshops for answers to frequently asked questions (FAQs) about your registration.

HCCA Membership: By selecting Registration + First-Time Membership, you agree to the full membership terms and conditions, including the use of your information, viewable at hcca-info.org/membership-terms-and-conditions. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

Opt Out: Select if you would like to opt out of the following:

☐ Online Member Directory: HCCA's member directory lists first and last name, organization, title, address, and phone number.

Options

	Early Bird*	Regular Price
☐ Member Registration	\$1,099	\$1,199
☐ Registration + First-Time Membership*	\$1,349	\$1,449
☐ Non-Member Registration	\$1,349	\$1,449

*Early Bird: pricing increases 28 days prior to the start of the event. See dates of price increases at left.

**Save by joining today (first-time members only). Dues renew at \$325.

Group Discount

☐	Group Discount for 3–9***	(\$50)
☐	Group Discount for 10 or More***	(\$100)

***Subtract the discount amount from your registration price.

TOTAL (BEFORE ANY APPLICABLE TAXES) \$ _____

Group Discount: Registration for group discounts should be submitted online in one transaction. If your registrations include a Registration + First-Time Membership, please contact Member Services for assistance. Note that discounts will not be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount. If submitting via email or mail, registration forms (one for each participant) must be sent together to ensure the discount is applied.

SECTION 3 Payment

Register online with credit card payment at hcca-info.org/essentials

Mail a check to HCCA, 6462 City West Parkway, Eden Prairie, MN 55344 USA (contact HCCA for applicable tax and total)

☐ Invoice me Purchase Order Number (attach PO) _____

☐ Wire transfer requested

To register with a check, wire transfer, or purchase order, or to pay with a credit card over the phone, please contact HCCA for an invoice with applicable taxes.

Due to PCI compliance, do not provide credit card information via email.

Registration is not complete until full payment is received. Tax may apply. Access to the event will not be allowed until all fees have been paid. HCCA reserves the right to cancel your registration if we do not receive payment by the start date of the event. Payments received with incorrect amounts will be returned. Prices are subject to change.

Email helpteam@hcca-info.org or call HCCA at 952.988.0141 or 888.580.8373.

SECTION 4 Acknowledgements

By submitting this registration, you agree to the Event Code of Conduct, viewable at hcca-info.org/event-code-conduct, and the event Terms and Conditions, viewable at hcca-info.org/event-terms-and-conditions, including the use of your information that may be shared with conference exhibitors, attendees, speakers, affiliates, and partners for promotional and/or networking purposes. Visit hcca-info.org/privacy to see the full use of your information or to opt out.

By registering for this event, you grant HCCA, or anyone authorized by HCCA, the right to use or publish, in print or electronic format, any photographs or video containing your image or likeness for educational, news, or promotional purposes, without compensation.